



PATENT

Attorney Docket No. (0320-0017) HOOV 117

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:  
Michael D. Hooven, et al.  
Serial No.: 10/015,868  
Filed: December 12, 2001  
Group Art No.: 3739  
Examiner: Rosiland S. Kearney  
For: TRANSMURAL ABLATION DEVICE  
WITH THIN ELECTRODES

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Date of Deposit September 10, 2003

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INFORMATION DISCLOSURE STATEMENT  
FILED WITH REQUEST FOR CONTINUED EXAMINATION

Pursuant to 37 C.F.R. §1.114, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the documents, except U.S. Application 2002/0091384 A1, is provided in U.S. Patent Application Serial No. 10/032,378, which is relied upon for an earlier effective filing date of the present application. U.S. Application 2002/0091384 A1 is the published application of U.S. Patent

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Application Serial No. 10/032,378, and thus was not enclosed in Application Serial No. 10/032,378. Accordingly, a copy of U.S. Application 2002/0091384 is provided with this Request for Continued Examination.

No inference should be drawn that any method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Request for Continued Examination is being filed after the mailing date of after the Notice of Allowance. Accordingly, a check for the amount of \$375.00, the fee for the submission of this Request for Continued Examination, is contained along with this cover letter and the Information Disclosure Statement. Additionally, authorization is hereby given to charge any additional fees or credit any overpayments to Deposit Account 50-1039. (A duplicate of this document is enclosed herewith.)

Respectfully submitted,

Date: September 10, 2003

By:



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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 3

| Complete if Known      |                      |
|------------------------|----------------------|
| Application Number     | 10/015,868           |
| Filing Date            | December 12, 2001    |
| First Named Inventor   | Michael D. Hooven    |
| Art Unit               | 3739                 |
| Examiner Name          | Rosiland S. Kearney  |
| Attorney Docket Number | 0320-0017 (HOOV 117) |

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|---|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> ( <i>if known</i> ) |                                |  |   |
|                    | 1                     | US- 2,004,559                                     | 06-11-1935                     | Wappler, et al.                                    |   |
|                    | 2                     | US- 3,470,875                                     | 10-07-1969                     | Johnson, et al.                                    |   |
|                    | 3                     | US- 4,312,337                                     | 01-26-1982                     | Donohue, et al.                                    |   |
|                    | 4                     | US- 5,033,477                                     | 07-23-1991                     | Chin, et al.                                       |   |
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|                    | 6                     | US- 6,083,222                                     | 07-04-2000                     | Klien, et al.                                      |   |
|                    | 7                     | US- 6,096,037                                     | 08-01-2000                     | Mulier, et al.                                     |   |
|                    | 8                     | US- 6,443,970                                     | 09-03-2002                     | Schulze, et al.                                    |   |
|                    | 9                     | US- 2002/0120263 A1                               | 08-29-2002                     | Brown, Tony R. et al                               |   |
|                    | 10                    | US- 2003/0004507 A1                               | 01-02-2003                     | Francischelli, et al                               |   |
|                    | 11                    | US- 2003/0073991 A1                               | 04-17-2003                     | Francischelli, et al                               |   |
|                    | 12                    | US- 6,517,536                                     | 02-11-2003                     | Hooven, Michael D.                                 |   |
|                    | 13                    | US- 6,546,935                                     | 04-15-2003                     | Hooven, Michael D.                                 |   |
|                    | 14                    | US- 2003/0125729 A1                               | 07-03-2003                     | Hooven, Michael D.                                 |   |
|                    | 15                    | US- 2003/0093068 A1                               | 03-15-2003                     | Hooven, Michael D.                                 |   |
|                    | 16                    | US- 2003/0032952 A1                               | 03-13-2003                     | Hooven, Michael D.                                 |   |
|                    | 17                    | US- 2003/0018329 A1                               | 01-23-2003                     | Hooven, Michael D.                                 |   |
|                    | 18                    | US- 2002/0107514 A1                               | 08-08-2002                     | Hooven, Michael D.                                 |   |
|                    | 19                    | US- 2002/0115993 A1                               | 08-22-2002                     | Hooven, Michael D.                                 |   |

## **FOREIGN PATENT DOCUMENTS**

| FOREIGN PATENT DOCUMENT |                       |   |                                |  |   |
|-------------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials*      | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear |
|                         |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  | T <sup>6</sup>  |
|                         | 20                    | WO 02 / 087454 A1   | 11-07-2002                     | Medtronic, Inc.                                    |   |
|                         |                       |   |                                |  |   |
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**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND COMMENTS TO: U.S. Patent and Trademark Office, P.O. Box 1450, Washington, DC 20231.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 3

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| First Named Inventor   | Michael D. Hooven    |
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| Examiner Name          | Rosiland S. Kearney  |
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|                    |                       | Number-Kind Code <sup>2</sup> ( <i>if known</i> ) |                                |  |   |
| 21                 | US- 2002/0120316 A1   | 08-08-2002  | Hooven, Michael D.             |  |   |
| 22                 | US- 2002/0103484 A1   | 08-01-2002  | Hooven, Michael D.             |  |   |
| 23                 | US- 2002/0091384 A1   | 07-11-2002  | Hooven, Michael D.             |  |   |
| 24                 | US- 2002/0091383 A1   | 07-11-2002  | Hooven, Michael D.             |  |   |
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| 28                 | US- 6,488,680         | 12-03-2002  | Francischelli et al.           |  |   |
| 29                 | US- 6,267,761         | 07-31-2001  | Ryan                           |  |   |
| 30                 | US- 6,273,887         | 08-14-2001  | Yamauchi et al.                |  |   |
| 31                 | US- 6,296,640         | 10-02-2001  | Wampler et al.                 |  |   |
| 32                 | US- 6,391,024         | 05-21-2002  | Sun et al.                     |  |   |
| 33                 | US- 2001/0039419 A1   | 11-08-2001  | Francischelli et al.           |  |   |
| 34                 | US- 6,277,117         | 08-21-2001  | Tetzlaff et al.                |  |   |
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|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>if known</i> ) |                                |  |   |                |
| 35                 | WO 01/82812 A1        |  | 11-08-2001                     | Medtronic, Inc.                                    |   |                |
| 36                 | WO 01/82813 A2        |  | 11-08-2001                     | Medtronic, Inc.                                    |   |                |
| 37                 | WO 01/82813 A3        |  | 11-08-2001                     | Medtronic, Inc.                                    |   |                |
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| Examiner Signature | Date Considered |
|--------------------|-----------------|

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Sheet

3 of 3

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| Group Art Unit         | 3739                 |
| Examiner Name          | Rosiland S. Kearney  |
| Attorney Docket Number | HOOV 117 (0320-0017) |

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

|                       |  |                    |  |
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Initials \_\_\_\_\_ Date \_\_\_\_\_

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